

| | | | | | | | |
|--|----|---|------|---------------------------------|-------|------------|---|
| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. H-956 | | SERIAL NO. | |
| LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | | | | APPLICANT Y. KANADA | | | |
| | | | | FILING DATE December 7, 2000 | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| * EXAMINER INITIAL | | DOCUMENT | DATE | NAME | CLASS | SUBCLASS | FILING DATE (If Appropriate) |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
| | AL | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AM | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AN | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AO | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AP | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i> | | | | | | | |
| | AR | "Commercial Production of Policy Server Started", June, 1999, NIKKEI INTERNET TECHNOLOGY, pp. 144-151. | | | | | |
| | AS | "White Paper - Introduction to Qos Policies", http://www.stardust.com/ , 1998. | | | | | |
| | AT | J. Boyle et al, The COPS (Common Open Policy Service) Protocol, Internet Draft, IETF, 1999. | | | | | |
| EXAMINER <i>92 T Bnts</i> DATE CONSIDERED <i>3/9/2004</i> | | | | | | | |

Jc825 U.S. PTO
 09/730577
 12/07/00

| | | | | | | | |
|---|---------------|--|------|---------------------------------|-------|------------|---|
| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. H-956 | | SERIAL NO. | |
| LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | | | | APPLICANT Y. KANADA | | | |
| | | | | FILING DATE December 7, 2000 | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| * EXAMINER INITIAL | | DOCUMENT | DATE | NAME | CLASS | SUBCLASS | FILING DATE <i>(If Appropriate)</i> |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
| | AL | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AM | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AN | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AO | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AP | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i> | | | | | | | |
| KB | AR | F. Reichmeyer et al, CPOS Usage for Policy Provisioning, Internet Draft, IETF, 1999. | | | | | |
| KB | AS | M. Fine et al, "Quality of Service Policy Information Base", Internet Draft, IETF, 1999. | | | | | |
| KB | AT | S. Blake et al, "An Architecture for Differentiated Services", RFC 2475, IETF, 1998. | | | | | |
| EXAMINER <i>John T Bates</i> | | | | | | | |
| DATE CONSIDERED <i>3/9/2004</i> | | | | | | | |

| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. H-956 | SERIAL NO. | |
|--|----------|--|---------|---------------------------------|------------|---|
| LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | | | | APPLICANT Y. KANADA | | |
| | | | | FILING DATE December 7, 2000 | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | |
| * EXAMINER INITIAL | DOCUMENT | DATE | NAME | CLASS | SUBCLASS | FILING DATE <i>(If Appropriate)</i> |
| | AA | | | | | |
| | AB | | | | | |
| | AC | | | | | |
| | AD | | | | | |
| | AE | | | | | |
| | AF | | | | | |
| | AG | | | | | |
| | AH | | | | | |
| | AI | | | | | |
| | AJ | | | | | |
| | AK | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | DOCUMENT | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | YES NO |
| | AL | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AM | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AN | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AO | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AP | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i> | | | | | | |
| NB | AR | K. Nichols et al, "A Two-bit Differentiated Services Architecture for the Internet", RFC 2638, IETF, 1999. | | | | |
| NB | AS | V. Jacobson et al, "An Expedited Forwarding PHB", RFC 2598, IETF, 1999. | | | | |
| NB | AT | J. Heinanen et al, "Assured Forwarding PHB Group", RFC 2597, IETF, 1999. | | | | |
| EXAMINER <i>Km T Bnts</i> | | | | | | |
| DATE CONSIDERED <i>3/9/2004</i> | | | | | | |

| | | | | | | | |
|--|----|--|------|------------------------------------|-------|------------|---|
| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. H-956 | | SERIAL NO. | |
| LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | | | | APPLICANT Y. KANADA | | | |
| | | | | FILING DATE December 7, 2000 | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| * EXAMINER INITIAL | | DOCUMENT | DATE | NAME | CLASS | SUBCLASS | FILING DATE <i>(If Appropriate)</i> |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
| | AL | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AM | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AN | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AO | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AP | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i> | | | | | | | |
| 118 | AR | Y. Kanada et al, "SNMP-based Qos Programming Interface MIB for Routers", IETF, 1999. | | | | | |
| AS | | A.V. Elho et al, "Algorithm Design and Analysis I", Science Corp., 1977, pp. 180-182. | | | | | |
| AT | | K. Ishihata et al, "Algorithm and Data Structure", Iwanami Lecture Software Science 3, Iwanami Shoten Publishers, 1989, pp. 242-244, and 275-276. | | | | | |
| EXAMINER <i>K. T. Bates</i> | | | | DATE CONSIDERED <i>3/4/2004</i> | | | |

| | | | | | | | |
|---|----|---|------|------------------------------------|-------|------------|---|
| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. H-956 | | SERIAL NO. | |
| LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | | | | APPLICANT Y. KANADA | | | |
| | | | | FILING DATE December 7, 2000 | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| * EXAMINER INITIAL | | DOCUMENT | DATE | NAME | CLASS | SUBCLASS | FILING DATE <i>(If Appropriate)</i> |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
| | AL | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AM | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AN | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AO | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| | AP | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i> | | | | | | | |
| | AR | Y. Kanada et al, "Global Array Data Flow Analysis Method", <u>Transactions of the Information Processing Society of Japan</u> , Vol. 28, No. 6, 1987, | | | | | |
| | | <u>pp. 567-576</u> | | | | | |
| | AS | J.R. Allen et al, "Conversion of Control Dependence to Data Dependence", <u>Proceedings of International Academic Conference, 10th Annual ACM Symposium on Principles of Programming Languages</u> , 1983, pp. 177-189. | | | | | |
| | AT | | | | | | |
| | | | | | | | |
| EXAMINER <i>T B-LB</i> | | | | DATE CONSIDERED <i>3/9/2004</i> | | | |